

# SYMPLECTIC $S^1 \times N^3$ AND SUBGROUP SEPARABILITY

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Let  $N$  be a closed 3–manifold. Thurston [Th76] showed that if  $N$  admits a fibration over  $S^1$ , then  $S^1 \times N$  is symplectic, i.e. it can be endowed with a closed, non-degenerate 2–form  $\omega$ .

It is natural to ask whether the converse of this statement holds true. We can state this problem in the following form:

**Conjecture 1.** *Let  $N$  be a closed 3–manifold. If  $S^1 \times N$  is symplectic, then there exists  $\phi \in H^1(N; \mathbb{Z})$  such that  $(N, \phi)$  fibers over  $S^1$ .*

Here we say that  $(N, \phi)$  fibers over  $S^1$  if the homotopy class of maps  $N \rightarrow S^1$  determined by  $\phi \in H^1(N; \mathbb{Z}) = [N, S^1]$  contains a representative that is a fiber bundle over  $S^1$ .

Assuming the Geometrization Conjecture, it is possible to prove that the problem is reduced to the study of irreducible 3–manifolds, and we will henceforth make that assumption for  $N$ .

In [FV06a] we related this problem to the study of twisted Alexander polynomials of  $N$ , and in particular we proved the following, that is a weaker version of the main result of [FV06a]:

**Theorem 1.** *Let  $N$  be an irreducible 3–manifold such that  $S^1 \times N$  admits a symplectic structure. Then there exists a primitive  $\phi \in H^1(N; \mathbb{Z})$  such that for any epimorphism  $\alpha : \pi_1(N) \rightarrow G$  onto a finite group  $G$  the associated 1–variable twisted Alexander polynomial  $\Delta_{N, \phi}^\alpha \in \mathbb{Z}[t^{\pm 1}]$  is non-zero.*

Recall that the 1–variable twisted Alexander polynomial  $\Delta_{N, \phi}^\alpha$  associated to the pair  $(N, \phi)$  is defined as the  $\mathbb{Z}[t^{\pm 1}]$ –order of the twisted Alexander module  $H_1(N; \mathbb{Z}[G][t^{\pm 1}])$ . Note that  $\Delta_{N, \phi}^\alpha \neq 0$  if and only if  $H_1(N; \mathbb{Z}[G][t^{\pm 1}])$  is  $\mathbb{Z}[t^{\pm 1}]$ –torsion.

Given  $\alpha : \pi_1(N) \rightarrow G$ , denote the corresponding regular cover of  $N$  cover by  $N_G$ . Note that, if  $S^1 \times N$  is symplectic, so is  $S^1 \times N_G$ . The ingredients of the proof of Theorem 1 are now the following: Taubes’ results on the Seiberg–Witten invariants of symplectic 4–manifolds, the relation, proved by Meng and Taubes, between the Seiberg–Witten invariants of  $N_G$  and the ordinary Alexander polynomial  $\Delta_{N_G}$ , and finally a relation obtained in [FV06a] between  $\Delta_{N_G}$  and  $\Delta_{N, \phi}^\alpha$ .

Theorem 1 says in particular that the following conjecture implies Conjecture 1 for irreducible manifolds.

**Conjecture 2.** *Let  $\phi \in H^1(N; \mathbb{Z})$  be a primitive class such that  $\Delta_{N, \phi}^\alpha \neq 0$  for all  $\alpha : \pi_1(N) \rightarrow G$ , then  $(N, \phi)$  fibers over  $S^1$ .*

To state our main theorem we need the following definition.

*Definition.* A subgroup  $A \subset \pi$  is *separable* if for all  $g \in \pi \setminus A$ , there exists an epimorphism  $\alpha : \pi \rightarrow G$  to a finite group  $G$  such that  $\alpha(g) \notin \alpha(A)$ .

We have the following result, proven in [FV06b]:

**Theorem 2.** *Let  $N$  be an irreducible 3-manifold. Let  $\phi \in H^1(N; \mathbb{Z})$  be a primitive class such that  $\Delta_{N, \phi}^\alpha \neq 0$  for all epimorphisms  $\alpha : \pi_1(N) \rightarrow G$  to a finite group. Let  $\Sigma \subset N$  be an embedded surface dual to  $\phi$  having minimal genus. If  $\pi_1(\Sigma) \subset \pi_1(N)$  is separable, then  $(N, \phi)$  fibers.*

The question of which subgroups of the fundamental group of a Haken manifold are separable has been studied extensively. In particular, the fact that abelian subgroups are separable (cf. [LN91] and [Ha01]) and that incompressible surfaces in Seifert fibered spaces are classified leads to the following corollary.

**Corollary 1.** *Conjecture 1 holds for irreducible manifolds with vanishing Thurston norm and for graph manifolds.*

This corollary in particular implies that if  $N_K$  is the 0-surgery on a knot  $K$  of genus  $g(K) = 1$ , and  $S^1 \times N_K$  is symplectic, then  $K$  is a trefoil or the figure-8 knot. This answers a question of Kronheimer [Kr98].

Scott [Sc78] showed that any subgroup of a hyperbolic 2-manifolds is separable. It has been conjectured by Thurston [Th82] that all (surface) subgroups of hyperbolic 3-manifolds are separable. Clearly a positive solution to Thurston's conjecture would imply Conjecture 1 for hyperbolic manifolds. Furthermore suitable subgroup separability properties of the hyperbolic pieces in the geometric decomposition can be shown to imply Conjecture 1 for all irreducible manifolds.

We conclude with a short outline of the proof of Theorem 2. Let  $M = N \setminus \nu\Sigma$ . We have two embeddings  $i_\pm : \Sigma \rightarrow \partial M$ . By Stallings' theorem,  $(N, \phi)$  fibers if the inclusion induced maps  $i_\pm : \pi_1(\Sigma) \rightarrow \pi_1(M)$  are isomorphisms. Since  $\Sigma$  has minimal genus we know that the maps  $i_\pm : \pi_1(\Sigma) \rightarrow \pi_1(M)$  are injective and that  $\pi_1(M) \rightarrow \pi_1(N)$  is injective.

Assume, by contradiction, that one of the  $i_\pm$  is not an isomorphism. We use the corresponding inclusion to view  $\pi_1(\Sigma)$  and  $\pi_1(M)$  as subgroups of  $\pi_1(N)$ .

Given an epimorphism  $\alpha : \pi_1(N) \rightarrow G$  to any finite group  $G$  we have a long exact Mayer-Vietoris sequence

$$H_1(N; \mathbb{Z}[G][t^{\pm 1}]) \rightarrow H_0(\Sigma; \mathbb{Z}[G]) \otimes \mathbb{Z}[t^{\pm 1}] \rightarrow H_0(M; \mathbb{Z}[G]) \otimes \mathbb{Z}[t^{\pm 1}] \rightarrow H_0(N; \mathbb{Z}[G][t^{\pm 1}]).$$

Now consider the ranks of the modules over  $\mathbb{Z}[t^{\pm 1}]$ : we have

$$\begin{aligned} \operatorname{rank}_{\mathbb{Z}[t^{\pm 1}]}(H_1(N; \mathbb{Z}[G][t^{\pm 1}])) &= 0 \text{ since } \Delta_{N, \phi}^\alpha \neq 0, \\ \operatorname{rank}_{\mathbb{Z}[t^{\pm 1}]}(H_0(N; \mathbb{Z}[G][t^{\pm 1}])) &= 0 \text{ since } \phi \neq 0, \\ \operatorname{rank}_{\mathbb{Z}[t^{\pm 1}]}(H_0(\Sigma; \mathbb{Z}[G]) \otimes \mathbb{Z}[t^{\pm 1}])) &= \operatorname{rank}_{\mathbb{Z}}(H_0(\Sigma; \mathbb{Z}[G])), \\ \operatorname{rank}_{\mathbb{Z}[t^{\pm 1}]}(H_0(M; \mathbb{Z}[G]) \otimes \mathbb{Z}[t^{\pm 1}])) &= \operatorname{rank}_{\mathbb{Z}}(H_0(M; \mathbb{Z}[G])). \end{aligned}$$

Therefore

$$\frac{|G|}{|\alpha(\pi_1(\Sigma))|} = \operatorname{rank}_{\mathbb{Z}}(H_0(\Sigma; \mathbb{Z}[G])) = \operatorname{rank}_{\mathbb{Z}}(H_0(M; \mathbb{Z}[G])) = \frac{|G|}{|\alpha(\pi_1(M))|}.$$

In particular we get that  $\alpha(\pi_1(\Sigma)) = \alpha(\pi_1(M)) \subset G$ . On the other hand it follows immediately from the assumption that  $\pi_1(\Sigma) \subset \pi_1(N)$  is separable, and from the assumption that  $\pi_1(\Sigma) \rightarrow \pi_1(M)$  is not an epimorphism, that there exists an epimorphism  $\alpha : \pi_1(N) \rightarrow G$  to a finite group with  $\alpha(\pi_1(\Sigma)) \neq \alpha(\pi_1(M))$ . This contradiction concludes the proof of Theorem 2.

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