

# CONCORDANCE OF LINKS AND THEIR COMPONENTS

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## 1. INTRODUCTION

The following theorem was first proved by Cochran [Co91] (building on techniques introduced in [Co85]) and Cochran–Orr [CO90, CO93]. This result was also recently reproved by Cha and Ruberman [CR11].

**Theorem 1.1.** *There exist links that have components which are smoothly concordant to the unknot but that are not topologically concordant to any link with components which have trivial Alexander polynomials.*

In this note we will give an alternative quick proof of the theorem.

## 2. PROOF OF THEOREM 1.1

Given an oriented two component link  $L = K_1 \cup K_2$  we can consider the corresponding Alexander polynomial  $\Delta_L(x_1, x_2) \in \mathbb{Z}[x_1^{\pm 1}, x_2^{\pm 1}]$ . The Alexander polynomial is well-defined up to multiplication by a unit in  $\mathbb{Z}[x_1^{\pm 1}, x_2^{\pm 1}]$ . In the following given  $f, g \in \mathbb{Z}[x_1^{\pm 1}, x_2^{\pm 1}]$  we write  $f \doteq g$  if  $f$  and  $g$  differ by multiplication by a unit in  $\mathbb{Z}[x_1^{\pm 1}, x_2^{\pm 1}]$ .

The following theorem was proved by Kawauchi [Ka78].

**Theorem 2.1.** *Let  $L_1$  and  $L_2$  be topologically concordant links, then there exist non-zero  $f(x_1, x_2), g(x_1, x_2) \in \mathbb{Z}[x_1^{\pm 1}, x_2^{\pm 1}]$  such that*

$$\Delta_{L_1}(x_1, x_2) \cdot f(x_1, x_2) \cdot f(x_1^{-1}, x_2^{-1}) \doteq \Delta_{L_2}(x_1, x_2) \cdot g(x_1, x_2) \cdot g(x_1^{-1}, x_2^{-1}).$$

The following theorem is an immediate consequence of the Torres condition on the Alexander polynomial of a link. We refer to [Ka96, Theorem 7.4.1] for details.

**Theorem 2.2.** *Let  $L = K_1 \cup K_2$  is 2-component link with linking number  $\text{lk}(K_1, K_2) = 1$ , then  $\Delta_L(t, 1)$  equals the Alexander polynomial of  $K_1$  and  $\Delta_L(1, t)$  equals the Alexander polynomial of  $K_2$ .*

We now say that a polynomial  $p(x_1, x_2) \in \mathbb{Z}[x_1^{\pm 1}, x_2^{\pm 1}]$  is *norm-free* if there is no non-trivial  $g \in \mathbb{Z}[x_1^{\pm 1}, x_2^{\pm 1}]$  such that  $g(x_1, x_2) \cdot g(x_1^{-1}, x_2^{-1})$  divides  $p(x_1, x_2)$ . (Here by non-trivial we mean that  $g$  is not a monomial.) We now obtain the following corollary:

**Corollary 2.3.** *Let  $L$  be a 2-component link with linking number equal to 1 such that  $\Delta_L(x_1, x_2)$  is norm-free. If  $L'$  is a link concordant to  $L$ , then  $\Delta_L(t, 1)$  divides the Alexander polynomial of the first component of  $L'$  and  $\Delta_L(1, t)$  divides the Alexander polynomial of the second component of  $L'$ .*

*Proof.* Let  $L'$  be a link which is concordant to  $L$ . By Theorem 2.1 there exist non-zero  $f(x_1, x_2), g(x_1, x_2) \in \mathbb{Z}[x_1^{\pm 1}, x_2^{\pm 1}]$  such that

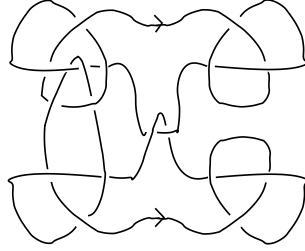
$$\Delta_{L_1}(x_1, x_2) \cdot f(x_1, x_2) \cdot f(x_1^{-1}, x_2^{-1}) \doteq \Delta_{L_2}(x_1, x_2) \cdot g(x_1, x_2) \cdot g(x_1^{-1}, x_2^{-1}).$$

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Since  $\Delta_L(x_1, x_2)$  is norm-free and since  $\mathbb{Z}[x_1^{\pm 1}, x_2^{\pm 1}]$  is a unique factorization domain, it follows easily that  $\Delta_L(x_1, x_2)$  divides  $\Delta_{L'}(x_1, x_2)$ . The corollary now immediately follows from Theorem 2.2.  $\square$

We now let  $K = T\# -T$ , where  $T$  denotes the trefoil. It is well-known that  $K$  is concordant to the unknot. We now consider the following link: The components



are both copies of  $K$ , and the linking number is equal to 1. But a direct calculation shows that  $\Delta_L(x_1, x_2)$  can be written as  $\sum_{i,j} a_{ij} x_1^i x_2^j$  where the non-zero coefficients  $a_{ij}$  are given in the following table:

$i \setminus j$	0	1	2	3	4
2	2	-5	7	-5	2
1	-5	13	-18	13	-5
0	7	-18	25	-18	7
-1	-5	13	-18	13	-5
-2	2	-5	7	-5	2

Note that  $\Delta_L(x_1, x_2)$  factors as follows:

$$(1 - x_1 + x_1^2) \cdot (1 - x_2 + x_2^2) \cdot (2 - 3x_1 + 2x_1^2 + x_2(-3 + 5x_1 - 3x_1^2) + x_2^2(2 - 3x_1 + 2x_1^2)).$$

It is easy to see that each factor is irreducible, and it now follows that  $\Delta_L(x_1, x_2)$  is norm-free. If  $L'$  is any link concordant to  $L$ , then by Corollary 2.3 the polynomial

$$\Delta_L(t, 1) = \Delta_L(1, t) = \Delta_K(t) = (t^{-1} - 1 + t)^2$$

divides the Alexander polynomial of either component of  $L'$ .

*Remark.* One can in fact produce infinitely many such links by taking the above link and doing a band sum for one component with a slice knot.

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